

FY2017 – FY2019 DBE Goal for Omaha-Council Bluffs Metropolitan Area Planning Agency

Amount of Goal

For the period of FY2017 – FY2019 MAPA projects a DBE participation goal of 1%. This goal will be obtained by a breakdown of 1% race neutral means and 0.00% race conscious means. The goals were entirely based on the availability of known, willing, and able Iowa DOT and Nebraska DOR DBE-Certified firms in both Iowa and Nebraska.

Source of Goal Data

The sources of the data from which DBE available and total available firms were obtained include:

- Iowa DOT DBE Directory: <http://www.iowadot.gov/civilrights/documents/DBE%20by%20name.pdf>
- Nebraska DOR DBE Directory: <http://www.dor.state.ne.us/letting/certified-dbes.htm>
- 2007 US Economic Census (American Fact Finder): <http://factfinder2.census.gov/faces/nav/jsf/pages/searchresults.xhtml?refresh=t>
- MAPA Unified Planning Work Program: <http://mapacog.org/unified-work-program--budget>

The MAPA region spans two states. MAPA regularly receives proposals from companies and organizations that are based outside of the 5 county MAPA region. As such, MAPA chose to use DBE and total available firm information from both states. This approach is hoped to foster more participation in MAPA projects from DBEs that may not have worked in the MAPA region previously but are certified in the state of Iowa and/or Nebraska.

Anticipated FTA Funded MAPA Contract Opportunities

MAPA anticipates three FTA funded contract opportunities to occur for the time period of FY2017 – FY2019. These projects are shown as follows:

FY2017

- Veteran's Grant Website Development
- Veteran's Grant Electronic Hardware
- Veteran's Grant Computer Software

FY2018

- No projects anticipated at this time

FY2019

- No projects anticipated at this time

Step 1: Base Figure Calculation

Figure 1: Determination of weight of work type by NAICS Code

	NAICS Code	Project	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	541511	Veteran's Grant Website (FY2017)	\$100,000.00	0.111
2)	541512	Veteran's Grant - Electronic Hardware (FY2017)	\$100,000.00	0.111
3)	541511	Veteran's Grant - Computer Software (FY2017)	\$200,000.00	0.222
Total FTA-Assisted Contract Funds			\$400,000.00	

Figure 2: Relative Availability of DBE's by NAICS Code

	NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability
1)	541511	Veteran's Grant Website (FY2017)	12	729	.016
2)	541512	Veteran's Grant - Electronic Hardware (FY2017)	15	461	.033
3)	541511	Veteran's Grant - Computer Software (FY2017)	12	729	.016
Combined Totals			39	1919	.020
					Overall availability of DBEs

Figure 3: Weighted Base Figure

	NAICS Code	Project	Weight	x	Availability	Weighted Base Figure
1)	541511	Veteran's Grant Website (FY2017)	0.111	x	0.0164609	0.01459
2)	541512	Veteran's Grant - Electronic Hardware (FY2017)	0.111	x	0.032538	0.00448
3)	541511	Veteran's Grant - Computer Software (FY2017)	0.222	x	0.0164609	0.01130
Total						0.009102
Expressed as a %						0.9%
Rounded, Weighted Base Figure:						1%

Omaha-Council Bluffs Metropolitan Area Planning Agency DBE Goals for FY2017 - FY2019 are calculated based on the above information from previously named sources and the MAPA UPWP.

Step 1 Base Rate Goal: 1%

Step 2 Adjustments:

In an effort to make the FY2017-FY2019 MAPA DBE Goal as accurate as possible, MAPA reviewed the goal and assessed the need to make adjustments to the goal based upon past participation, disparity studies, and other information available.

Past Participation

Federal Fiscal Year	Total Goal	RC Goal	RN Goal	Past Participation	RC	RN
FFY2016	1.12%	0.00%	1.12%	0.00%	0.00%	0.00%

Year	Participation
FFY 2014	0%
FFY 2015	0%
FFY 2016	0%

Step 1: Base Rate Goal: 1%

Step 2: Past Participation Median: 0.00%

$$\frac{1.00 \text{ (Step 1 Base Rate Goal)} + 0.00 \text{ (Step 2 Past Participation Median)}}{2} = 1.00$$

The projects tied to the FY2014-FY2016 MAPA DBE Goal did not occur during that period. For this reason the DBE participation was 0.00% as there were no contract opportunities for DBEs to participate in.

The goal adjustment for MAPA would yield an adjusted goal of 0.5%. MAPA will not be adjusting the FY2017-FY2019 DBE goal based on past participation from FY2014-FY2016 because the lack of participation was a result of a lack of implemented project opportunities. The FY2017-FY2019 MAPA DBE goal will remain 1%.

Disparity Studies

MAPA has not conducted any disparity studies to date. MAPA has not found any disparity studies conducted by other entities or organizations inside the MAPA region from which to draw any conclusions of disparity. As such there is no conclusion that can be drawn and no meaningful inputs that would warrant an adjustment of the DBE goal based upon disparity studies. The FY2017-FY2019 MAPA DBE goal will remain 1%.

DBE Organizational Outreach

Section in progress.

Race Neutral/Race Conscious Breakout:

Per the guidelines in 49 CFR Part 26.51 MAPA should, to the maximum extent possible, utilize race neutral means to meet the maximum percent feasible of DBE participation goals. To determine the maximum feasible amount, FTA has recommended that organizations review past participation of DBE contracts and projects.

Strategies for Encouraging Race Neutral Participation

MAPA expects to meet the FY2017-FY2019 DBE goal by using entirely race-neutral means of facilitating DBE participation. MAPA will use the following race-neutral means to increase DBE participation:

1. Arrange solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBE, and other small businesses, participation (e.g., unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own forces);
2. Provide assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and

- providing services to help DBEs, and other small businesses, obtain bonding and financing);
3. Provide technical assistance and other services;
 4. Carry out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate); and
 5. Ensure distribution of our DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors.

MAPA anticipates reaching the overall goal of 1% entirely with race-neutral DBE participation.

Reporting and Adjustments

In order to ensure that the MAPA DBE program will be tailored to overcome the effects of discrimination, MAPA will adjust the estimated breakout of race-neutral and race conscious participation as needed to reflect actual DBE participation and will track and report race neutral and race conscious participation separately. For reporting purposes, race-neutral DBE participation includes, but is not necessarily limited to the following:

- DBE participation through a prime contract a DBE obtains through customary competitive procurement procedures;
- DBE participation through a subcontract on a prime contract that does not carry DBE goals;
- DBE participation on a prime contract exceeding a contract goal; and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

MAPA will maintain data separately on DBE achievements in those contracts with and without contract goals respectively.

Conclusion

Based on the analysis above, the DBE goal breakdown for the Omaha-Council Bluffs Metropolitan Area Planning Agency is as follows:

Race Neutral DBE Goal = 1%
Race Conscious DBE Goal = 0.00%
Overall DBE Goal = 1%

Categories of Example Contract Opportunities

Examples of Non-Contracting Opportunities

- Conferences and seminars
- Dues and subscriptions
- Equipment repairs (sole source maintenance contracts for radios and office equipment)
- Meals and lodging
- Mileage and transportation (rental cars, airfare)
- Motor vehicle repairs (MAPA vehicles only – all repairs for MAPA vehicles occur at the Douglas County Garage)
- Office equipment (MAPA does not purchase office equipment for the MAPA office with FTA funding)
- Office supplies (MAPA does not purchase office supplies for the MAPA office with FTA funding)
- Postage
- Salaries, benefits and taxes
- Transit vehicles

Examples of Contracting Opportunities

- Architecture
- Art/graphics
- Building construction or maintenance
- Cable guard rail, guard rail
- Carpet care
- Concrete, i.e., work for new bus shelters or bus bays
- Construction
- Disposal of waste fluids
- Electrical or mechanical repair or maintenance
- Equipment repairs
- Erosion control
- Excavation
- Farebox counting and deposit
- Fasteners
- Feasibility studies
- Fencing
- Fuel
- Grading
- Geo-tech services
- Hardware
- HVAC plumbing repairs and maintenance
- Insurance
- ITS consulting or software

- Janitorial services
- Land use planning
- Landscaping
- Lighting fixtures and lamps
- Mailing services
- Management consulting
- Materials testing
- Miscellaneous supplies
- NEPA assessments
- Painting
- Paving
- Pest Control
- Planning Studies
- Preliminary or Final Design
- Printing equipment
- Printing services
- Purchase orders
- Quality control
- Rental and sales of equipment
- Repair
- Security and courier services
- Signs, painting, signage
- Snow removal
- Storage
- Structural engineering
- Surveying
- Testing
- Telecommunications/data/electronic
- Traffic control
- Translation of printed materials into languages other than English
- Transportation planning

MAPA Outreach Evidence

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