FY2014 – FY2016 DBE Goal for Omaha-Council Bluffs Metropolitan Area Planning Agency

Amount of Goal

For the period of FY2014 – FY2016 MAPA projects a DBE participation goal of 1.12%. This goal will be obtained by a breakdown of 1.12% race neutral means and 0.00% race conscious means. The goals were entirely based on the availability of known, willing and able lowa DOT and Nebraska DOR DBE-Certified firms in both lowa and Nebraska.

Source of Goal Data

The sources of the data from which DBE available and total available firms were obtained include:

- lowa DOT DBE Directory: http://www.iowadot.gov/civilrights/documents/DBE%20by%20name.pdf
- Nebraska DOR DBE Directory: http://www.dor.state.ne.us/letting/certified-dbes.htm
- 2007 US Economic Census (American Fact Finder): http://factfinder2.census.gov/faces/nav/jsf/pages/searchresults.xhtml?refresh=t
- MAPA Unified Planning Work Program: http://mapacog.org/unified-work-program-budget

The MAPA region spans two states. MAPA regularly receives proposals from companies and organizations that are based outside of the 5 county MAPA region. As such, MAPA chose to use DBE and total available firm information from both states. This approach is hoped to foster more participation in MAPA projects from DBEs that may not have worked in the MAPA region previously but are certified in the state of lowa and/or Nebraska.

Anticipated FTA Funded MAPA Contract Opportunities

MAPA anticipates three FTA funded contract opportunities to occur for the time period of FY2014 – FY2016. These projects are shown as follows:

FY2014

• Veteran's Grant Feasibility Study – DOT Funding: \$150,000.00

FY2015

- Veteran's Grant Electronic Hardware DOT Funding: \$117,500.00
- Veteran's Grant Computer Software DOT Funding: \$586,250.00

FY2016

No projects anticipated at this time.

Step 1: Base Figure Calculation

Figure 1: Determination of weight of work type by NAICS Code

	NAICS Code Project		Amount of DOT funds on project:	% of total DOT funds (weight)	
1)	541330	Veteran's Grant Feasibility Study (FY2014)	\$150,000.00	0.1757	
2)	541512	Veteran's Grant - Electronic Hardware (FY2015)	\$117,500.00	0.1376	
3)	541511	Veteran's Grant - Computer Software (FY2015)	\$586,250.00	0.6867	
	Total FTA-Assisted Contract Funds		\$853,750.00	1.0000	

Figure 2: Relative Availability of DBE's by NAICS Code

	NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability	
1)	541330	Veteran's Grant Feasibility Study (FY2014)	24	576	0.0417	
2)	541512	Veteran's Grant - Electronic Hardware (FY2015)	2	434	0.0046	
3)	541511	Veteran's Grant - Computer Software (FY2015)	3	635	0.0047	
	Combined Totals		29	1645	0.0176	Overall availability o DBEs

Figure 3: Weighted Base Figure

	NAICS Code	Project	Weight	X	Availability	Weighted Base Figure
1)	541330	Veteran's Grant Feasibility Study (FY2014)	0.17570	X	0.04167	0.0073
2)	541512	Veteran's Grant - Electronic Hardware (FY2015)	0.13763	X	0.00461	0.0006
3)	541511	Veteran's Grant - Computer Software (FY2015)	0.68668	X	0.00472	0.0032
					Total	0.0112
					Expressed as a % (*100)	1.12%
					Rounded, Weighted Base Figure:	1%

Omaha-Council Bluffs Metropolitan Area Planning Agency DBE Goals for FY2014 - FY2016 are calculated based on the above information from previously named sources and the MAPA UPWP.

Step 1 Base Rate Goal: 1.12 %

Step 2 Adjustments:

In an effort to make the FY2014-FY2016 MAPA DBE Goal as accurate as possible, MAPA reviewed the goal and assessed the need to make adjustments to the goal based upon past participation, disparity studies, and other information available.

Past Participation

Federal Fiscal Year	Total	RC	RN	Past	RC	RN
	Goal	Goal	Goal	Participation		
FFY2013	0.62%	0.00%	0.62%	0.00%	0.00%	0.00%

Year	Participation		
FFY 2013	0.00		

Step 1: Base Rate Goal: 1.12%

Step 2: Past Participation Median: 0.00%

The projects tied to the FY2013 MAPA DBE Goal did not occur in FY2013. For this reason the DBE participation in FY2013 was 0.00% as there were no contract opportunities for DBEs to participate in.

The goal adjustment for MAPA would yield an adjusted goal of 0.56%. The lack of participation seen during FY2013 is therefore a result of the lack of actual project opportunities. MAPA will not be adjusting the FY2014-FY2016 DBE goal based on past participation from FY2013. The FY2014-FY2016 MAPA DBE goal will remain 1.12%.

Disparity Studies

MAPA has not conducted any disparity studies to date. MAPA has not found any disparity studies conducted by other entities or organizations inside the MAPA region from which to draw any conclusions of disparity. As such there is no conclusion that can be drawn and no meaningful inputs that would warrant an adjustment of the DBE goal based upon disparity studies. The FY2014-FY2016 MAPA DBE goal will remain 1.12%.

DBE Organizational Outreach

MAPA consulted with the NDOR, Office of Civil Rights to discuss any known barriers or hindrances to DBE participation in contracting in the region. Largely the discussion determined that there is an overall lack of DBE participation in all projects but no specific hindrance could be pinpointed.

MAPA consulted with the Nebraska Department of Roads, Office of Civil Rights
to discuss DBE contracting issues. This consultation included a discussion of
advertisement methodology for goal preparation and potential outreach to DBE
organizations. NDOR encouraged MAPA to solicit comment from the
Association of General Contractors (AGC) – Nebraska in addition to the current
public participation structure.

MAPA attempted to contact the Great Plains Minority Supplier Development Council to ascertain if they could relate any examples of past discrimination in our market area.

 MAPA attempted to contact the Great Plains Minority Supplier Development Council during the creation of this goal. MAPA was unable to find a correct listing for the group and does not believe the group to be currently active. All telephone numbers listed in online documents are no longer serving the group and no website or office location was able to be determined. It is MAPA's assumption that this group no longer operates in Omaha.

Upon consultation with Metro Transit, MAPA found that Great Plains Minority Suppliers are in fact inactive but that their service area had been co-opted by the Midwest Minority Supplier Development Council (MMSDC), based out of Minneapolis, Minnesota. MAPA contacted the MMSDC and sent the agency's DBE goal to the MMSDC for review. MAPA also asked the MMSDC if there were any known barriers or hindrances to DBE participation in the MAPA region. MMSDC did not return any comments on MAPA's DBE Goal or report any barriers or hindrances to DBE participation in the MAPA region.

MAPA contacted the National Association of Women Business Owners – Central Iowa Chapter to make them aware of the DBE Goal for FY2014-FY2016. MAPA delivered the goal to the NAWBO-CI via email and asked for comments and if the NAWBO-CI was aware of any barriers or hindrances to DBE Participation in the MAPA Region. The NAWBO-CI did not return any comments to MAPA or report any barriers or hindrances to DBE Participation in the MAPA Region.

MAPA also contacted the Greater Omaha Chamber of Commerce's Minority Business Resources coordinator and delivered the DBE Goal for FY2014-FY2016 via email and asked if the Chamber was aware of any barriers or hindrances to DBE Participation in the MAPA Region. The Greater Omaha Chamber of Commerce did not return any comments to MAPA or report any barriers or hindrances to DBE Participation in the MAPA Region.

A record of the above email contact with the MMSDC, the NAWBO-Cl and the Greater Omaha Chamber of Commerce is included in the back of this document.

Conclusion

Due to the lack of disparity studies and previous DBE participation opportunities, MAPA will not adjust the calculated base rate DBE goal. Additionally, MAPA received no comments from DBE Organizations on the goal. Evidence necessary to alter the goal in any direction does not exist at this time. MAPA will continue to track DBE participation in the region and will update the DBE Goal to reflect any changes in the contracting opportunities or status of disparity studies and participation.

The Omaha-Council Bluffs Metropolitan Area Planning Agency DBE Goal for the FY2014-FY2016 reporting period will be 1.12 percent.

Race Neutral/Race Conscious Breakout:

Per the guidelines in 49 CFR Part 26.51 MAPA should, to the maximum extent possible, utilize race neutral means to meet the maximum percent feasible of DBE participation goals. To determine the maximum feasible amount, FTA has recommended that organizations review past participation of DBE contracts and projects.

Past Participation

Prior to FY2013 MAPA had not actively maintained a DBE Goal as FTA administered contract opportunities had never exceeded \$250,000 in a given fiscal year. Organizations that operate FTA funded programs with contract opportunities under the \$250,000 threshold are not required to maintain a DBE goal but must maintain an overall DBE program.

However, MAPA has conducted projects through which DBE eligible consultants have been selected and awarded contracts. For example, in FY2013 MAPA awarded an FHWA sponsored contract to a DBE as the prime consultant without using DBE goals or a breakout of race neutral or race conscious goals.

Strategies for Encouraging Race Neutral Participation

MAPA expects to meet the FY2014-FY2016 DBE goal by using entirely race-neutral means of facilitating DBE participation. MAPA will use the following race-neutral means to increase DBE participation:

- Arrange solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBE, and other small businesses, participation (e.g., unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own forces);
- Provide assistance in overcoming limitations such as inability to obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs, and other small businesses, obtain bonding and financing);
- 3. Provide technical assistance and other services;
- 4. Carry out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate); and
- 5. Ensure distribution of our DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors.

MAPA anticipates reaching the overall goal of 1.12% entirely with race-neutral DBE participation.

Reporting and Adjustments

In order to ensure that the MAPA DBE program will be tailored to overcome the effects of discrimination, MAPA will adjust the estimated breakout of race-neutral and race conscious participation as needed to reflect actual DBE participation and will track and report race neutral and race conscious participation separately. For reporting purposes, race-neutral DBE participation includes, but is not necessarily limited to the following:

- DBE participation through a prime contract a DBE obtains through customary competitive procurement procedures;
- DBE participation through a subcontract on a prime contract that does not carry DBE goals;
- DBE participation on a prime contract exceeding a contract goal; and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

MAPA will maintain data separately on DBE achievements in those contracts with and without contract goals respectively.

Conclusion

Race Neutral DBE Goal = 1.12% Race Conscious DBE Goal = 0.00% Overall DBE Goal = 1.12%

Categories of Example Contract Opportunities

Examples of Non-Contracting Opportunities

- · Conferences and seminars
- Dues and subscriptions
- Equipment repairs (sole source maintenance contracts for radios and office equipment)
- Meals and lodging
- Mileage and transportation (rental cars, airfare)
- Motor vehicle repairs (MAPA vehicles only all repairs for MAPA vehicles occur at the Douglas County Garage)
- Office equipment (MAPA does not purchase office equipment for the MAPA office with FTA funding)
- Office supplies (MAPA does not purchase office supplies for the MAPA office with FTA funding)
- Postage
- Salaries, benefits and taxes
- Transit vehicles

Examples of Contracting Opportunities

- Architecture
- Art/graphics
- Building construction or maintenance
- Cable guard rail, guard rail
- Carpet care
- Concrete, i.e., work for new bus shelters or bus bays
- Construction
- Disposal of waste fluids
- Electrical or mechanical repair or maintenance
- Equipment repairs
- Erosion control
- Excavation
- · Farebox counting and deposit
- Fasteners
- Feasibility studies
- Fencing
- Fuel
- Grading
- Geo-tech services
- Hardware
- HVAC plumbing repairs and maintenance
- Insurance
- ITS consulting or software

- Janitorial services
- Land use planning
- Landscaping
- Lighting fixtures and lamps
- Mailing services
- Management consulting
- Materials testing
- Miscellaneous supplies
- NEPA assessments
- Painting
- Paving
- Pest Control
- Planning Studies
- Preliminary or Final Design
- Printing equipment
- Printing services
- Purchase orders
- Quality control
- Rental and sales of equipment
- Repair
- Security and courier services
- Signs, painting, signage
- Snow removal
- Storage
- Structural engineering
- Surveying
- Testing
- Telecommunications/data/electronic
- Traffic control
- Translation of printed materials into languages other than English
- Transportation planning

MAPA Outreach Evidence

From: Weander, Nicholas B. (Mapa)

To: <u>"wdurand@selectgreateromaha.com";</u>

Subject: Metropolitan Area Planning Agency - FY2014-FY2016 DBE Goal

Date: Monday, August 12, 2013 3:12:21 PM

Attachments: <u>image001.png</u>

image002.png

FY2014-FY2016_MAPA_DBE_GOAL.pdf

Good afternoon Winsley,

Attached to this email you will find the FY2014-FY2016 Disadvantaged Business Enterprise goal for fiscal years 2014-2016. This goal contains a listing of potential contract opportunities through the Metropolitan Area Planning Agency by project and NAICS code.

Please review this document and let me know if you are aware of any hindrances or burdens to participation by Disadvantaged Business Enterprises in Federally funded contract opportunities in the Omaha region.

Thank you,

Nick Weander
Associate Planner
Metropolitan Area Planning Agency
2222 Cuming Street
Omaha, NE 68102
p: 402/444-6866 x219
http://www.mapacog.org/
nicholas.weander@mapacog.org





From: <u>info@nawbo-ci.org</u>

To: Weander, Nicholas B. (Mapa);
Subject: NAWBO-CI Contact Successful

Date: Monday, August 12, 2013 11:18:49 AM

Thank you for contacting NAWBO-CI. A NAWBO Board Member will reply to you shortly.

You submitted the following information:

Full Name: Nick Weander

Business Name: Omaha-Council Bluffs Metropolitan Area Planning

Agency

Street Address: 2222 Cuming Street

City: Omaha **State**: Nebraska

Zip: 68102

Phone: 402-444-6866 x219 Web Site: www.mapacog.org

Type of Inquiry: Meetings/programs question or information

Message: Good Morning,

The Omaha-Council Bluffs Metropolitan Area Planning Agency is soliciting your comment on our FY2014-FY2016 Disadvantaged Business Enterprise (DBE Goal).

Please contact me so I can send you a copy of the goal for your review.

Thank you,

Nick Weander Associate Planner Metropolitan Area Planning Agency 2222 Cuming Street Omaha, NE 68102 nicholas.weander@mapacog.org 402-444-6866 x219 From: Weander, Nicholas B. (Mapa)

To: "marbelo@mmsdc.org";

Subject: Omaha-Council Bluffs Metropolitan Area Planning Agency - FY2014-

FY2016 DBE Goal

Date: Friday, August 09, 2013 2:14:29 PM

Attachments: <u>image001.png</u> image002.png

FY2014-FY2016 MAPA DBE Goal.pdf

Ms. Arbelo,

Please find the attached Disadvantaged Business Enterprise participation goal for the period of FY2014-FY2016.

MAPA anticipates three contract opportunities for DBE participation during this period:

- Veteran's Grant Feasibility Study FY2014
 - o \$150,000.00 (federal share) project to evaluate the feasibility of a one-call transportation management coordination center (TMCC) in the Omaha-Council Bluffs region. This project will evaluate various software and hardware platforms to determine a preferred alternative for implementation of a coordinated transit center.
- Veteran's Grant Electronic Hardware FY2015
 - o \$117,500.00 (federal share) project to purchase the hardware necessary to implement the proposed one-call transportation management coordination center.
- Veteran's Grant Computer Software FY2015
 - o \$586,250 (federal share) project to purchase the software necessary to implement the proposed one-call transportation management coordination center.

The DBE goals for each of these projects and the agency as a whole are shown in the attached goal.

If there are any hindrances or barriers to DBE participation that you are aware of in the Nebraska/Western Iowa region I would appreciate you letting me know.

Thank you very much!

Nick Weander Associate Planner Metropolitan Area Planning Agency 2222 Cuming Street Omaha, NE 68102 p: 402/444-6866 x219 http://www.mapacog.org/ nicholas.weander@mapacog.org









From: Allen, Courtney (Mapa)

Bcc:

"composition@opinion-tribune.com"; "Kiley.Petersen@owh.com"; "Sharon.olszewski@nonpareilonline.com"; "legals@enterprisepub.com"; "legals@papilliontimes.com"; "legals@omahadailyrecord.com"; "info@dcpostgazette.com"; "phyllis@omahastarinc.com"; "nuestromundonewspaper@cox.net"

Subject: MAPA public notice

Date: Tuesday, July 30, 2013 12:11:00 PM Attachments: public notice July 30 final.docx

Hello,

Attached is a public notice to be released as soon as possible. If there is a receipt, it can be sent to

Thanks and have a great day,

The Metropolitan Area Planning Agency (MAPA)'s overall goal for Disadvantaged Business Enterprise participation FY 2014 is 2.3% of the Federal Financial received for DOT assisted contracts.

MAPA anticipates contract opportunities in FY14 in the following NAICS codes:

- -488490-Other Support Activities for Road Transportation
- -541330-Engineering Services
- -541511- Custom Computer Programming Services
- -541613- Marketing Consulting Services
- -541910- Marketing Research and Public Opinion Polling

Interested parties may inspect the goal and calculation methodology at the MAPA office for 30 days following this announcement. MAPA will accept comments for 45 days. The goal information is also posted at www.mapacog.org.

From: Allen, Courtney (Mapa)

Sent: Wednesday, July 31, 2013 8:09 AM
To: Weander, Nicholas B. (Mapa)
Subject: FW: MAPA public notice

From: Olszewski, Sharon [mailto:Sharon.Olszewski@nonpareilonline.com]

Sent: Tuesday, July 30, 2013 4:26 PM

To: Allen, Courtney (Mapa)
Subject: RE: MAPA public notice

Notice is scheduled to publish on Thursday, August 1. Proof of Publication will be forwarded to you immediately following that publication. The Invoice/Statement is scheduled to be mailed on September 3.

Sharon Olszewski

Accounting / Legal Publications

The Daily Nonpareil

p. 712-325-5800

f. 712-325-5667

----Original Message-----

From: Allen, Courtney (Mapa) [mailto:CAllen@Mapacog.org]

Sent: Tuesday, July 30, 2013 12:12 PM

Subject: MAPA public notice

Hello,

Attached is a public notice to be released as soon as possible. If there is a receipt, it can be sent to me. Thanks and have a great day,

From: Allen, Courtney (Mapa)

Sent: Tuesday, July 30, 2013 1:11 PM
To: Weander, Nicholas B. (Mapa)
Subject: FW: MAPA public notice

From: Jodene Kros [mailto:legals@enterprisepub.com]

Sent: Tuesday, July 30, 2013 1:10 PM

To: Allen, Courtney (Mapa)
Subject: RE: MAPA public notice

HI Courtney,

I will set this to run this Friday, August 2nd only. I will mail the affidavit after it runs.

Thanks

Jodene Kros Bookkeeper, Enterprise Publishing 402-426-2121

From: Allen, Courtney (Mapa) [mailto:CAllen@Mapacog.org]

Sent: Tuesday, July 30, 2013 12:12 PM

Subject: MAPA public notice

Hello,

Attached is a public notice to be released as soon as possible. If there is a receipt, it can be sent to me. Thanks and have a great day,

From: Allen, Courtney (Mapa)

Sent: Tuesday, July 30, 2013 1:04 PM
To: Weander, Nicholas B. (Mapa)
Subject: FW: MAPA public notice

From: Nuestro Mundo [mailto:nuestromundonewspaper@cox.net]

Sent: Tuesday, July 30, 2013 1:00 PM

To: Allen, Courtney (Mapa)
Subject: RE: MAPA public notice

Hi; Is this an "ad" request? If so, the cost is \$200 for a 2 week period. Size would be 1/8 page. Please advise and send

approval. Thanks, Ben

From: Allen, Courtney (Mapa) [mailto:CAllen@Mapacog.org]

Sent: Tuesday, July 30, 2013 12:12 PM

Subject: MAPA public notice

Hello,

Attached is a public notice to be released as soon as possible. If there is a receipt, it can be sent to me.

Thanks and have a great day,

From: Allen, Courtney (Mapa)

Sent: Tuesday, July 30, 2013 1:04 PM
To: Weander, Nicholas B. (Mapa)
Subject: FW: MAPA public notice

It looks like these papers don't do notices just ad space. I placed it with this one before I got the Nuestro Mundo one. I have never contacted either of these papers so I didn't know the costs. Let me know if you want to go ahead with the Nuestro Mundo one as well.

From: Phyllis Hicks [mailto:phyllis@omahastarinc.com]

Sent: Tuesday, July 30, 2013 12:57 PM

To: Allen, Courtney (Mapa)
Subject: RE: MAPA public notice

We will use lower font and put in a 2 x 4 size ad. Thanks

From: Allen, Courtney (Mapa) [mailto:CAllen@Mapacog.org]

Sent: Tuesday, July 30, 2013 12:55 PM

To: Phyllis Hicks

Subject: MAPA public notice

Thanks for the call, if you can fit it in the 2x4 for \$160 we would like to do that one. Thanks,

From: Allen, Courtney (Mapa)

Sent: Tuesday, July 30, 2013 12:27 PM
To: Weander, Nicholas B. (Mapa)
Subject: FW: MAPA public notice

Here ya go!

From: Judy [mailto:legals@omahadailyrecord.com]

Sent: Tuesday, July 30, 2013 12:26 PM

To: Allen, Courtney (Mapa)
Subject: Re: MAPA public notice

Thanks Courtney

This email is to inform you that your public notice(s) has been received.

Your public notice(s) will appear in The Daily Record on 8/1/13 .

If you would like an emailed proof prior to publication, please advise via this email at least two full business days before publication and one will be provided.

If you have any further questions or concerns, please contact our office at (402) 345-1303.

Thank you,

The Daily Record

From: Allen, Courtney (Mapa)

Sent: Tuesday, July 30, 2013 12:11 PM

Subject: MAPA public notice

Hello,

Attached is a public notice to be released as soon as possible. If there is a receipt, it can be sent to me.

Thanks and have a great day,

From: Allen, Courtney (Mapa)

Sent: Tuesday, July 30, 2013 12:24 PM To: Weander, Nicholas B. (Mapa)

Subject: FW: MAPA notice

----Original Message----

From: news@dcpostgazette.com [mailto:news@dcpostgazette.com]

Sent: Tuesday, July 30, 2013 12:23 PM

To: Allen, Courtney (Mapa)

Subject: MAPA notice

Hello, Courtney,

We have received your request to publish the MAPA Disadvantaged Business Enterprise notice. It will run in our August 6th edition.

Thank you, Renee Berger Douglas County Post-Gazette 2929 N. 204th Street, Suite 117 Elkhorn, NE 68022 402-289-2329